

Appendix A
 Governance and Audit Committee 2018-19
 Indicative Annual Work Plan and Timetable

	Jun	Jul	Sep	Dec	Mar	Comments
Internal Audit (RSM)						
Progress Report	✓		✓	✓	✓	
Tracking Report	✓				✓	
Annual Report and Opinion	✓					
Indicative Internal Audit Strategy and Plan				✓		
Internal Audit Strategy and Plan					✓	
External Audit						
External Audit Plan					✓	
Ad hoc reporting	♦	♦	♦	♦	♦	As required
Annual Governance Report		✓				
Annual Audit Letter				✓		
Annual Report on Grants and Returns					✓	
Risk Management						
Corporate Risk Register	✓			✓		
Risk Management and Business Continuity Annual Report	✓					
Risk Management Framework			✓			Biennial
Governance						
Annual Governance Statement		✓				
Review of Effectiveness and Terms of Reference				✓		Biennial
Code of Corporate Governance	♦	♦	♦	♦	♦	As required
Regulatory Framework						
Counter Fraud Annual Report			✓			
Counter Fraud Framework			✓			Biennial
Other policies eg Contract and Procurement Procedure Rules, Codes of Conduct	♦	♦	♦	♦	♦	As required
Finance						
Draft Financial Outturn	✓					
Statement of Accounts – Final		✓				
Treasury Management Annual Report		✓				
Treasury Management mid-year review				✓		
Statement of Accounting Policies					✓	
Pension Assumptions					✓	
Financial Regulations	♦	♦	♦	♦	♦	As required
Treasury Management Strategy	♦	♦	♦	♦	♦	As required
Other Reports						
Health and Safety Annual Report	✓					
Safeguarding Annual Report	✓					
Ombudsman Annual Report			✓			

♦ These items may occur at any time during the course of the municipal year