

Appendix A

Internal Audits 2009/10 - Reports Finalised as at 31 August 2009

AUDIT AREA	DATE COMPLETED	ASSURANCE LEVEL	RECOMMENDATIONS											FUNDAMENTAL RISK IMPLEMENTATION RATE	ALL CATEGORIES IMPLEMENTATION RATE
			RISK CATEGORY				IMPLEMENTED				N/A	Not Implemented	Partly Implemented		
			Fundamental (High)	Significant (Medium)	Merits Attention (Low)	Total	Fundamental (High)	Significant (Medium)	Merits Attention (Low)	Total					
Car Loans	20-Jul-09	Substantial	0	1	2	3									
Cash Security	26-May-09	Limited	0	7	4	11	0	2	1	3	1	6	1	N/A	27%
IT Policies & Procedures	01-Sep-09	Adequate	0	2	3	5									
Licensing	01-Sep-09	Adequate	0	4	2	6									
Play Schemes	14-Jul-09	Substantial	0	0	1	1									
Residents Involvement	13-Aug-09	Substantial	0	0	2	2									
		TOTAL	0	14	14	28									

With the exception of the Cash Security audit, none of the above recommendations have been followed up (tracked) and in the majority of cases the recommendations are not due for implementation until after 31 August 2009

Implemented - Officers have indicated through self-certification the progress of recommendations. A sample of responses have been verified

N/A - The recommendation is no longer applicable due to changes in the system, or alternative action has been taken to address the risk

Not Implemented - The recommendation has not been addressed, alternative action has not been taken

Partly Implemented - Officers have started implementation of recommendations