

Governance and Audit Committee Work Plan 2022-23

Committee Membership: 7

Chairman: Councillor Paul Wood

Vice-Chairman: Councillor Kaffy Rice-Oxley

Item	Current Issues/Status	Outcome Sought
	28 September 2022	
2021-22 External Audit Plan	The report provides an overview of the planned scope and timing of the statutory audit of South Kesteven District Council for those charged with governance.	To review and note the contents of the report.
Internal Audit Progress Report	Update from the Council's Internal Auditors	To review and note the contents of the report.
Strategic Risk Register	The Strategic Risk Register is presented to the Committee twice a year as part of the monitoring and review of the risk management arrangements.	To review and consider approving the Strategic Risk Register.
Health and Safety Annual Report 2021/22	Report to give an overview of the health and safety management arrangements within South Kesteven District Council and identify key areas of focus.	To review and note the contents of the report.
Ombudsman Annual Report	Annual review of complaints received and decisions made from the Local Government Ombudsman Letter.	To review and note the contents of the report.
Scrutiny Review	Report summarising the key findings from the scrutiny review.	To review and note the contents of the report.
	28 November 2022	
External Audit Annual Governance Report	Report outlining the key findings arising from the statutory audit of South Kesteven District Council.	To review and note the contents of the report.

Item	Current Issues/Status	Outcome Sought
Statement of Accounts 2021/22 including the Annual Governance Statement	The Statement of Accounts, including the Annual Governance Statement, must be approved each year by the statutory deadline.	To approve the 2021/22 Statement of Accounts and their publication on the Council's website.
14 December 2022		
Internal Audit Progress Report	Update from the Council's Internal Auditors	To review and note the contents of the report.
Indicative Internal Audit Plan 2023/24	Internal Audit to present the indicative Internal Audit Plan for 2023/24.	To review and note contents of plan for 2023/24.
Treasury Management Mid-Year Review	Update on treasury and debt management operations during the financial year.	To review and note the contents of the Mid-year review.
Treasury Management Strategy	To approve the Treasury Management Strategy 2022/23.	To review and note the contents of the Strategy.
Safeguarding Annual Report 2021/22	An overview of the Council's involvement in the safeguarding of children, young people and vulnerable adults for 2021/22.	To review and note the contents of the report.
Review of Effectiveness and Terms of Reference	Biennial review of the Committee's Terms of Reference	To consider the Committee's work over the previous two municipal years and suggest changes to the Terms of Reference if necessary.
15 March 2023		
Internal Audit Plan 2023/24	Internal Audit to present Audit Plan for 2023/24.	To review and note the contents of the plan.
Internal Audit Progress Report	Update from the Council's Internal Auditors.	To review and note the contents of the progress report.
External Audit Annual Report on Grants and Returns	Report providing details on the Housing Benefit Subsidy claim.	To review and note the contents of the report.

Item	Current Issues/Status	Outcome Sought
Strategic Risk Register	The Strategic Risk Register is presented to the Committee twice a year as part of the monitoring and review of the risk management arrangements.	To review and consider approving the Strategic Risk Register.
Statement of Accounting Policies	Annual report prior to the preparation of the Statement of Accounts to ensure that the policies are up to date and in line with the CIPFA Code of Practice.	To consider approving the Statement of Accounting Policies.
Items to be allocated as and when required		
Financial Regulations		
Code of Corporate Governance		
Code of Conduct		
Contract Procedure Rules		
Risk Management Framework		
Counter Fraud Framework		